

# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/AU04/001687

International filing date: 01 December 2004 (01.12.2004)

Document type: Certified copy of priority document

Document details: Country/Office: AU  
Number: 2003906777  
Filing date: 05 December 2003 (05.12.2003)

Date of receipt at the International Bureau: 04 January 2005 (04.01.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse



PCT/AU2004/001687

Patent Office  
Canberra

I, LEANNE MYNOTT, MANAGER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. 2003906777 for a patent by THE COMMONWEALTH OF AUSTRALIA as filed on 05 December 2003.

WITNESS my hand this  
Sixteenth day of December 2004



LEANNE MYNOTT  
MANAGER EXAMINATION SUPPORT  
AND SALES

AUSTRALIA  
Patents Act 1990

PROVISIONAL SPECIFICATION

Applicant:

THE COMMONWEALTH OF AUSTRALIA

Invention Title:

STRAIN GAUGE

The invention is described in the following statement:

## STRAIN GAUGE

### FIELD OF THE INVENTION

The present invention relates to a strain gauge for  
5 measuring strain, of particular but by no means exclusive  
application in measuring strain in cramped environments.

### BACKGROUND OF THE INVENTION

One existing strain gauge is the electrical resistance  
10 foil strain gauges. For uniaxial strain these typically  
require bridge completion and precision conditioning  
equipment. Further, electrical resistance foil strain  
gauges generally use higher power levels than is desirable  
and their use involves careful work by personnel owing to  
15 their low output signal levels.

Another broad type of gauge is the "clip" gauge, which  
incorporate a full bridge. However, clip gauges generally  
do not have a low profile, and are unsuitable for  
20 permanent installation. Further, they also consume  
considerable power and are not inexpensive.

Columbia Research Laboratories, Inc. produces a full  
bridge product using metallic gauges, but this does not  
25 have as high a sensitivity as is desirable in many  
applications.

Thus, existing strain gauges have relatively high power  
requirements, generally require bridge completion, and  
30 have low output signal levels.

### SUMMARY OF THE INVENTION

The present invention provides, therefore, a strain gauge,  
having:

35 a strain sensing element for sensing strain with  
first and second load points and provided with a pair of  
piezo-resistors located between said load points such

that, when said strain sensing element is subjected to tension or compression at said load points, a first of said pair of piezo-resistors is subjected to compression and a second of said pair of piezo-resistors is subjected to tension;

wherein a change in said relative resistance of said pair of piezo-resistors is induced by subjecting said strain sensing element to compression or tension.

10 Preferably said strain sensing element comprises a curved silicon member (preferably a ring and more preferably a circular ring or annulus).

Those skilled in the art will understand that structures other than circular members can be used to generate compressive and tensile regions in silicon suitable for measuring using piezo-resistors. For example, alternatives include ellipses, ovals, one or more curves with one or more straight portions, and angular members (such as a "V" shape or a zig-zag member).

In one particular embodiment, the strain sensing element comprises a silicon ring or annulus.

25 The strain sensing element may include two or more load points and respective sets of piezo-resistors between each respective pair of load points. For example, the strain sensing element may comprise a ring with two load points and two pairs of piezo-resistors.

30 Preferably the strain sensing element comprises a silicon ring or annulus with a plurality of load points spaced substantially equidistantly around the perimeter of said ring or annulus.

35 The strain gauge may include a plurality of strain sensing elements (such as silicon rings or annuli). Preferably

each of said strain sensing elements include at least one load point coupled to a load point of another of said strain sensing elements.

5 In one embodiment, the strain gauge includes a plurality of strain sensing elements (such as silicon rings or annuli) arranged linearly, each having a load point coupled to or common with a load point of any adjacent one or more of said strain sensing elements.

10 Preferably said gauge includes a detector responsive to changes in the relative resistance of said pair of piezo-resistors.

15 Preferably said strain sensing element is provided with two pairs of piezo-resistors, arranged so as to constitute a Wheatstone Bridge.

20 In one particular embodiment, the strain sensing element is provided with a plurality of pairs of piezo-resistors, arranged so as to constitute a Wheatstone Bridge, and the gauge includes a current or potential sensitive device (such as an microammeter or differential amplifier) arranged to respond to changes in the relative resistance  
25 of said piezo-resistors.

#### BRIEF DESCRIPTION OF THE DRAWING

In order that the invention may be more clearly ascertained, embodiments will now be described, by way of  
30 example, with reference to the accompanying drawings, in which:

Figure 1 is a schematic view of a uniaxial strain gauge according to a first embodiment of the present invention;

35 Figure 2 is a schematic view of the strain sensing element of the strain gauge of figure 1;

Figure 3 is a schematic circuit diagram of a

strain gauge measurement circuit for use with the strain gauge of figure 1;

Figure 4 is a plot of change in resistance  $\Delta R(\Omega)$  as a function of microstrain for a strain gauge constructed according to the embodiment of figures 1 and 2;

Figure 5 is a schematic view of a biaxial strain gauge according to a second embodiment of the present invention;

Figure 6A is a photograph of an elongate strain gauge according to a third embodiment of the present invention; and

Figure 6B is a detail of the strain gauge of figure 6A.

#### DETAILED DESCRIPTION OF THE DRAWING

A uniaxial strain gauge according to an embodiment of the present invention is shown generally at 10 in Figure 1. The strain gauge 10 comprises a three dimensional, integral machined silicon structure, and includes a rectangular outer silicon frame 12, within which is a strain sensing element in the form of silicon annulus 14. The silicon annulus 14 is attached to two load points 16a, 16b respectively, by means of silicon tethers 18a, 18b at diametrically opposite points on the outer circumference of annulus 14. These load points 16a, 16b are coupled to the silicon frame 12 by means of respective pairs of compliant silicon tethers 20a, 20b. It is by means of load points 16a, 16b that the gauge 10, in use, is bonded to the structure being monitored for strain.

In this embodiment, the silicon annulus 14 has an outer diameter 5 mm, a thickness 100  $\mu\text{m}$  and width (i.e. the distance from the inner circumference to the outer circumference) 100  $\mu\text{m}$ .

The strain sensing element, silicon annulus 14, is thus a

free standing mechanical structure for measuring load, with that free standing structure attached to a larger frame (viz. silicon frame 12) via compliant tethers 20a, 20b. Fabrication techniques familiar to those skilled in the art are used to form piezo-resistive elements, metal contacts and any electronic circuitry on the silicon wafer. In order to produce the free standing structure a deep etching process, such as deep reactive ion etching, is used. Those skilled in the art will be familiar with this process for forming deep structures in silicon that already has electronic circuitry fabricated on it.

The mechanical structures thus machined in the silicon preferably have a well controlled thickness. This may be achieved by the use of silicon-on-insulator (SOI) wafers and a deep reactive ion etching process that stops at the insulating layer between the device silicon (where the electronics and mechanical structures are formed) and the handle silicon (a backing piece of silicon to hold these structures) of the SOI wafer.

The final stage of fabrication of such devices is the release of the deep machined structures from the silicon handle layer. This can be performed by complete removal of the handle silicon, by deep etching the handle silicon from the back side of the wafer at regions that correspond to the mechanical structures on the front side of the wafer, or by selective etching of the insulating layer between the device silicon and the handle silicon in the regions where the deep mechanical structures are located. Those skilled in the art will recognize that electrical circuits fabricated previously may need to be protected during this processing and that there are a number of suitable techniques for doing this; in any particular case, the preferred technique will depend on the approach taken to release the mechanical structures.



Figure 2 is a schematic view of the silicon annulus 14. Annulus 14 is provided with two pairs of identical piezo-resistors 22a,b 24a,b, located at diametrically opposite points on one face of the annulus 12. The four piezo-resistors 22a,b 24a,b are fabricated onto the silicon annulus 14, and are oriented so as to extend parallel to each other and essentially circumferentially to the silicon annulus 14. Each pair of piezo-resistors 22a,b or 24a,b includes a first piezo-resistor (22a and 24a respectively) located near the outer circumference of the silicon annulus 14 and a second piezo-resistor (22b and 24b respectively) located near the inner circumference of the silicon annulus 14.

In broad terms, strain gauge 10 operates as follows. The strain gauge 10 is bonded to the structure to be measured for strain at load points 16a, 16b, and is consequently predominantly in plane with the surface of the structure on which the strain is to be measured. When the load points are subjected to compression or tension, those forces are transmitted, via silicon tethers 18a, 18b, to the silicon annulus 14 at respective diametrically opposite points 26a, 26b of the outer circumference of the annulus 14, 90° from the piezo-resistors 22a,b, 24a,b. This induces the silicon annulus 12 to distort into a marginally elliptical configuration (being either compressed or stretched at points 26a, 26b); as a result, the two outer piezo-resistors 22a, 24a will be respectively either stretched or compressed while the two inner piezo-resistors 22b, 24b will be, respectively, compressed or stretched.

Electrical contacts and connections are made individually to each piezo-resistors 22a,b, 24a,b and taken off the silicon annulus 14 on the flexible silicon tethers 20a, 20b, which are fabricated on the silicon at the same time as the annulus 14.

Thus, the signals from the piezo-resistors 22a,b, 24a,b are indicative of the strain experienced by the strain gauge 10.

5

Figure 3 is a schematic circuit diagram of a strain gauge measurement circuit 30 for use with strain gauge 10, with a schematic representation of the gauge 10 including piezo-resistors 22a,b, 24a,b. Generally, it will be noted that the piezo-resistors 22a,b, 24a,b and the circuit 30 together constitute a Wheatstone Bridge. The piezo-resistors have equal intrinsic resistances so, when the gauge 10 is under no compression or tension, the Bridge will be balanced. However, when the gauge 10 is under compression (or tension), the outer piezo-resistors 22a, 24a will both be under tension (or respectively compression) and the inner piezo-resistors 22b, 24b will both be under compression (or respectively tension). Consequently, in each one pair of piezo-resistors (inner or outer) will have increased resistance while the other pair have decreased resistance.

The circuit 30 comprises a first power supply 32 for providing the power for the Wheatstone Bridge. First power supply 32 provides - in this embodiment - a supply of approximately 3 V, and could be in the form of a 3 V battery. It will be understood by those skilled in this art, however, that the strain gauge 10 could operate with a wide range of voltages, such as from 1 V or less up to the limit of the Si integrated circuit technology used to fabricate it.

The circuit includes second power supply 34 (in this case of approximately 0.6 V), connected to substrate pad 36, to reverse bias the piezo-resistors to wafer (or well) junction. It will be appreciated that other bias voltages can be used; in general, this bias is set to be as large

as possible relative to the voltage that is used to do the measurements (see below). Also, in this embodiment the substrate is positively biased, owing to the particular doping of the piezo-resistors; with alternative doped  
5 piezo-resistors, the bias may therefore be negative.

The bias is provided because the piezo-resistors are simply doped silicon in the oppositely doped silicon wafer (or it could be a specially doped well). Thus, the  
10 boundary from a piezo-resistor to the wafer (or well) thus constitutes a diode, which is reverse biased so that the current stays in the piezo-resistor.

Second power supply 34 could be, for example, in the form  
15 of a 1.5 V battery with two 100 k $\Omega$  resistors connected in series across it and a resulting centre point (at 0.75 V) used to bias the substrate.

In one alternative arrangement, the substrate of the  
20 strain gauge 10 is connected directly to the positive terminal of the second power supply 34, although this might lead in some cases to voltage modulation effects in the piezo-resistors 22a,b, 24a,b. The extent to which the diode (referred to above) is reverse biased affects the  
25 resistance of the diode owing to depletion of current carriers. If the diode is just connected to the positive rail, the voltage drop down the piezo-resistors will give a reverse bias. However, as this changes (owing to changes in strain) there may be a secondary affect due to  
30 changed bias and depletion of the current carriers. Thus, it may be beneficial to have a strong reverse bias to reduce this effect. Also, changing the reverse bias could be used as a fine tuning technique, so it is envisaged that the circuit 30 could profitably include a mechanism  
35 for controlling the bias and hence act as a compensation system.

Between the second power supply 34 and the substrate is optionally located a first resistor R1, to limit current if the second power supply 34 cannot be current limited. As there should be very little current, R1 in this  
5 embodiment is approximately 100 k $\Omega$ , though the precise value is not critical. Between first resistor R1 and the substrate is a first ammeter mA1, for checking that there is not any excess current flowing. Consequently, this milliammeter should read close to zero.

10 A second current limiting resistor R2 and a second ammeter mA2 are connected in series between the first power supply and the first pair of piezo-resistors 22a,b. Resistor R2 is used during set up, and possibly during a first set of  
15 measurements. Resistor R2 has a resistance of approximately 4.7 k $\Omega$ , which limits the current to at most 0.6 mA. Resistor R2 could be shorted out for most of the measurements to ensure a constant excitation voltage.

20 Second ammeter mA2 monitors the total current through the Wheatstone Bridge.

Piezo-resistors 22a and 24b and piezo-resistors 22b and 24a are connected to respective terminals of a  
25 differential amplifier 36. The differential amplifier 36 thus takes the voltages from the midpoints of the Wheatstone Bridge. The output of the differential amplifier 36 is then input into a chart recorder or some other data acquisition system (not shown) that accepts  
30 differential floating inputs.

Tensile and compressive stresses are distinguishable, as the potential across differential amplifier 36 when the gauge 10 is under tension will be of opposite polarity to  
35 that when the gauge 10 is under compression.

The resistances used in gauge 10 are of the order of ten

thousand ohms, compared with three hundred ohms typical of existing devices, which requires significantly less power. Further, the gauge 10 is preferably formed as an integral silicon structure, which ensures that each piezo-resistor experiences the environmental conditions (including most particularly temperature) and so closely maintain the same relative electrical resistance.

Strain gauge 10 optionally includes additional piezo-resistors 42a,b and 44a,b of the same characteristics as piezo-resistors 22a,b and 24a,b in the annulus 14. They are fabricated on the silicon frame 12 so will not be exposed to the strain that piezo-resistors 22a,b and 24a,b experience. Piezo-resistors 42a,b and 44a,b can thus be used as controls to compensate for thermal affects.

It will be understood that the measurement circuit 30, as well as the circuitry that processes the outputs of circuit 30 (together with any other desired integrated circuit functions), can be included on the strain gauge 10. Further, the gauge 10 is preferably formed from the same material (i.e. silicon wafer) as used for implementing signal conditioning.

The gauge 10 can be bonded to the surface of the structure being tested at two (or, in other embodiments, more) points. The load path (or paths) connecting these points do not take the most direct path (thus (a) reducing stiffness to minimise attachment stress, and (b) separating the stress into in phase and antiphase components).

Further, strain gauge 10 should provide a higher level of compliance than existing devices, which is of particular importance when attached to steel.

In order to facilitate the bonding of the load points to

the structure to be monitored, an electrical connection can be run to exposed conducting structures on the load point pads so that material (for example, metal) can be electrodeposited onto the load point pad (for example, by electroplating a metal). This permits, advantageously, the in-situ fabrication of load points that are slightly proud of the surface of the sensor and silicon frame to make it easier to attach the load points to the surface being monitored and not inadvertently come into contact with other parts of the structure. A strain gauge with such load point pads can be potted in a compliant polymer, leaving the faces of the load point pads exposed so that the whole device could be bonded to the surface of a structure while ensuring that the load is transmitted substantially into the load point pads and to the load points on the strain sensors.

In addition, the entire silicon wafer can be processed to electrodeposit pads on the load points. It will be understood by those skilled in the art that it is desirable (though not essential) to fabricate the electronics to control this process on the wafer itself; doing so is expected to afford more uniform results for all of the devices across the wafer.

25

#### EXAMPLE

A strain gauge was constructed according to the embodiment of figures 1 and 2, and tested with a circuit comparable to that of figure 3. Zero strain resistance was approximately 10 k $\Omega$ , and total excitation current 200  $\mu$ A (100  $\mu$ A per arm of the bridge). This corresponds to approximately 2 V excitation voltage. Thus, the total power in the example was 400  $\mu$ W or 100  $\mu$ W per resistor.

35 Figure 4 is a plot of change in resistance  $\Delta R(\Omega)$  as a function of microstrain. The microstrain increased from zero to approximately 3890 and then decreased back to

zero. Thus, there are two curves in the plot for each of the four piezo-resistors, which have been labelled in accordance with figures 2 and 3. The resistance increases for two piezo-resistors (22b, 24b) and decreases for the other two piezo-resistors (22a, 24a) because the former two were placed under tension and latter two under compression.

It should be noted that the effective gauge factor for a single piezo-resistor in the silicon annulus is lower than the gauge factor that one would obtain if the piezo-resistor were exposed in isolation to the same strain. That is, the piezo-resistors do not see the entire strain that is applied at the load points of the silicon ring. This is due to the geometry of the gauge, which increases compliance but reduces strain at the measurement points, viz. the locations of the piezo-resistors. For a  $\Delta R$  of 100  $\Omega$ , the strain was found to be approximately 3000 microstrain, hence:

$$(\Delta R/R_0)/(\Delta L/L_0) = (100/100000)/0.003 = 3.3.$$

This is not much greater than a standard metal foil strain gauge. A change in resistance of 100  $\Omega$  corresponds to a voltage change of around 0.01 V for a single piezo-resistor at around 3000 microstrain. A standard 120  $\Omega$  metal foil strain gauge with a gauge factor of 2 gives a resistance change of  $120 \times 2 \times 3000 \times 1/1000000 = 0.72 \Omega$ . To obtain the same voltage change for a standard metal foil gauge as is obtained with the piezo-resistors requires a current of 13.9 mA and thus an excitation voltage of 1.7 V. The power in a single metal foil gauge would thus be 23.2 mW, which is 232 times higher than the piezo-resistors in this embodiment. A 300  $\Omega$  metal foil strain gauge would require around 37 times the power.

Fabrication of the strain sensitive elements is not

restricted to the configuration of figure 2, viz. with pairs of elements diagonally opposite each other so that the gauge is uniaxial. Figure 5 is a schematic view of a strain sensing element in the form of silicon annulus 46 according to a second embodiment of the present invention; silicon annulus 46 has three equally spaced pairs of piezo-resistors 48a,b, 50a,b and 52a,b and three load points 54a,b,c. Each load point 54a,b,c is located between a respective pair of piezo-resistors pairs.

Silicon annulus 46 forms the strain sensing element of a strain gauge otherwise comparable to that shown in figure 1.

In this embodiment, the three groups of paired piezo-resistors 48a,b, 50a,b and 52a,b make the silicon annulus 46 sensitive to biaxial strains. In a further alternative, three groups of four (rather than two) piezo-resistors could be located around the silicon annulus 46, possibly configured as a bridge. Other configurations such as three half bridges are possible or configurations with still different numbers of piezo-resistors.

Figure 6A is a photograph of a strain gauge 60 according to yet another embodiment of the present invention, which includes a silicon frame 62 and a series of eight silicon annuli 64 connected in an essentially linear fashion (and vertically in this view) by means of rectangular junctions. Gauge 60 thus comprises an extended gauge with load points along the length of the gauge, viz. at the junctions.

The uppermost (in this view) junction 66 forms a part of the silicon frame 62, while the lowermost junction 68 (in this view) is connected to two groups 70a,70b of three thin, flexible silicon strips extending horizontally (in this view) from lowermost junction 68. Each of the two



groups of silicon strips meets, at its end remote from junction 68, a respective silicon arm 72a,72b that runs first vertically (in this view), then doubles back and downwardly to meet a respective further group 74a,74b of three silicon strips that extend (in this view) horizontally back to the silicon frame 62 at respective points 75a,75b. All of the annuli 64, their load points at the junctions (such as uppermost junction 66 and lowermost junction 68), groups of silicon strips 70a,70b and 74a,74b and silicon arms 72a,72b are situated in a machined well in the silicon frame 62 and have been released from the underlying silicon so that are free to move other than by being constrained at the uppermost junction 66 and at points 94a,b (viz. where the further groups 74a,74b each of three silicon strips meet the silicon frame 62). The machined well is fabricated at the same time as the annuli 64, the junctions/load points and tethers and a selective etching process is subsequently used to release the structures from the bottom of the well.

Along the left and rights sides of the silicon frame 62 are respective pairs of pads 76a,b that form contacts to the silicon frame 62, which are used for applying the bias voltage.

Above these are, again on each side, four pads 78a,b which are where connections to the piezo-resistors are made.

The silicon frame 62 also has thin lines 80a,b from the frame's left and right sides (respectively) are where a voltage can be applied to electrodeposit metal or other electrodepositable substance (such as for electroplating) onto the load points so that bumps can be made proud of the silicon surface for attaching the load points to the structure to be monitored.

In addition, strain gauge 60 includes a row of twelve connected resistors 82 at the lower periphery (as seen in this view) of the silicon frame 62, to act as reference resistors.

5

Figure 6B is a detail of the strain gauge 60, showing one of the silicon annuli 64 with adjacent junctions 84a, 84b. It will be seen that each annulus in fact comprises two semi-annuli 86a, 86b that are both joined and separated by the adjacent junctions 84a, 84b. Each semi-annulus 86a, 86b is provided with a set of piezo-resistors 88a, 88b.

10

Modifications within the scope of the invention may be readily effected by those skilled in the art. It is to be understood, therefore, that this invention is not limited to the particular embodiments described by way of example hereinabove.

15

Further, any reference herein to prior art is not intended to imply that such prior art forms or formed a part of the common general knowledge.

20

Dated this 5th day of December 2003

THE COMMONWEALTH OF AUSTRALIA

25

By their Patent Attorneys

GRIFFITH HACK

Fellows Institute of Patent and

Trade Mark Attorneys of Australia

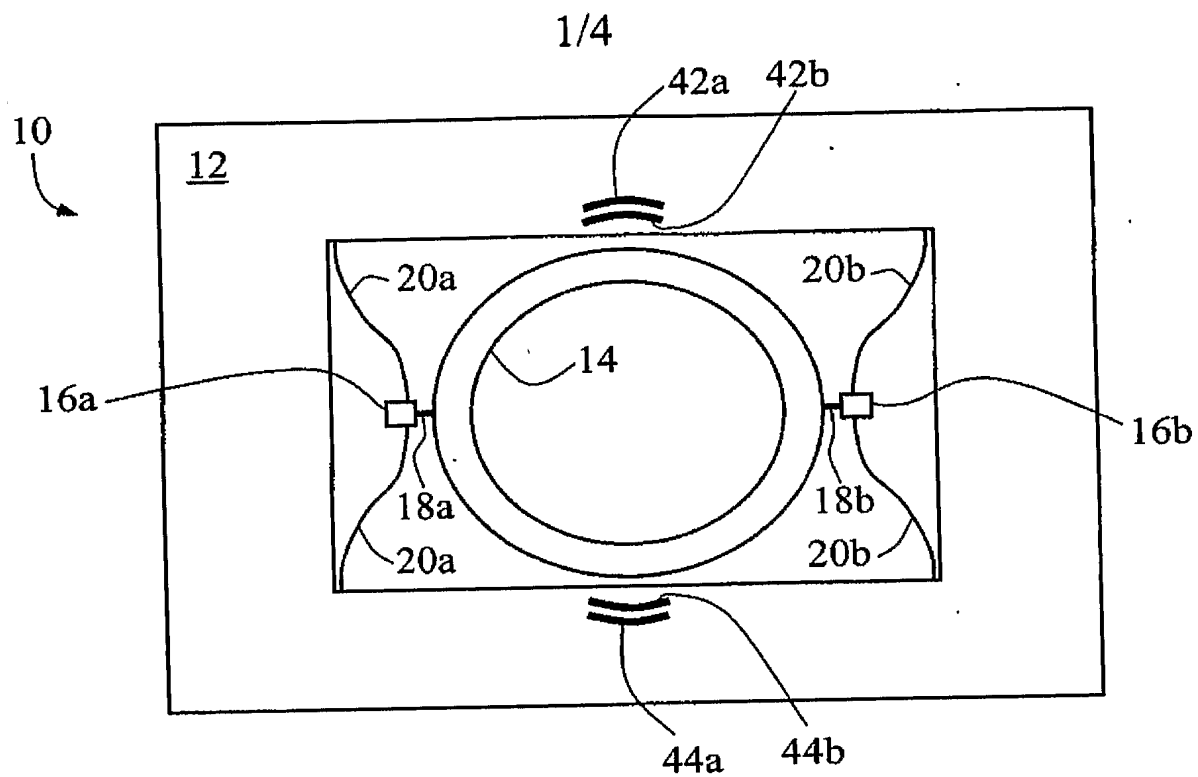


Figure 1

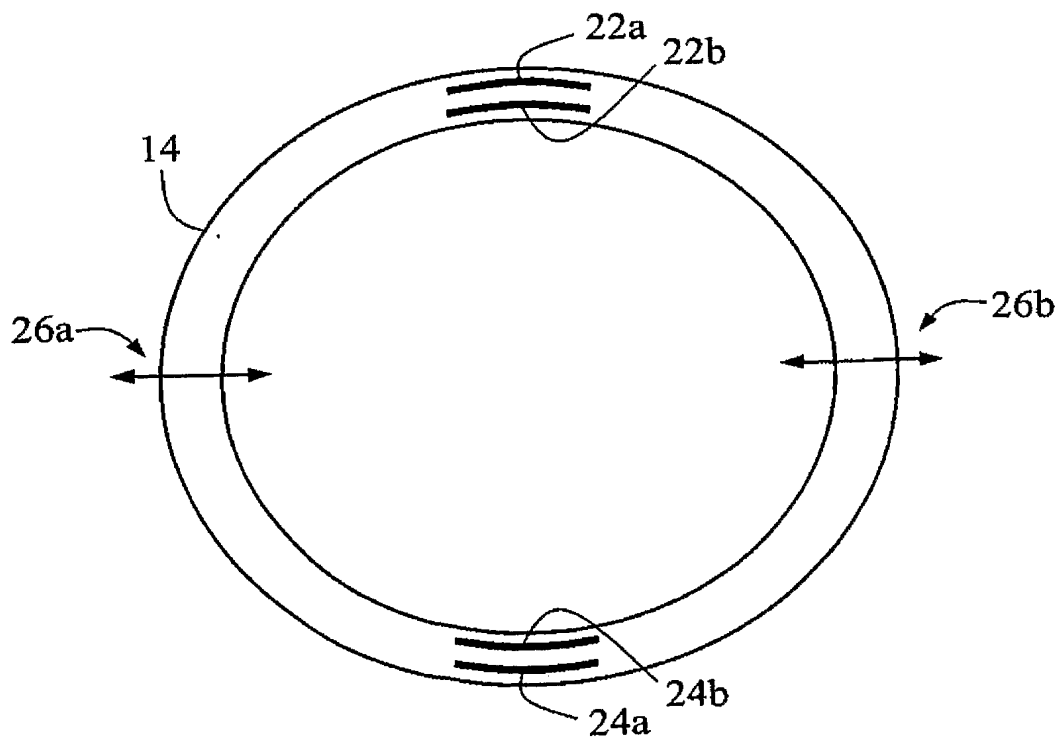


Figure 2

2/4

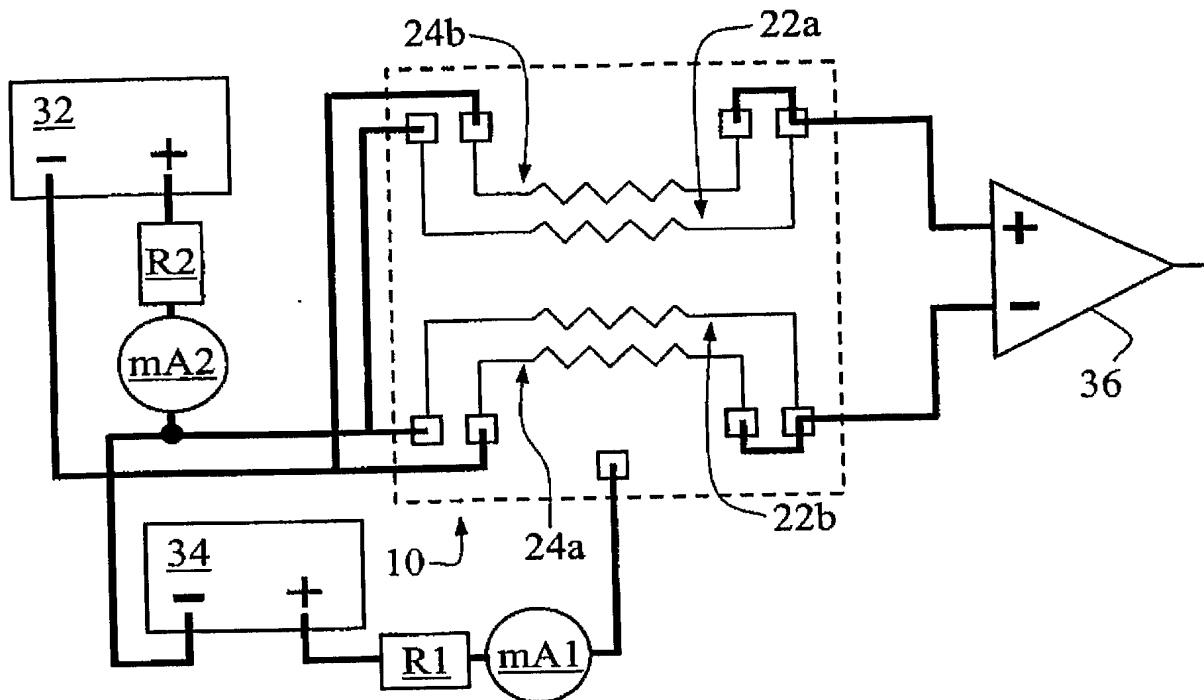


Figure 3

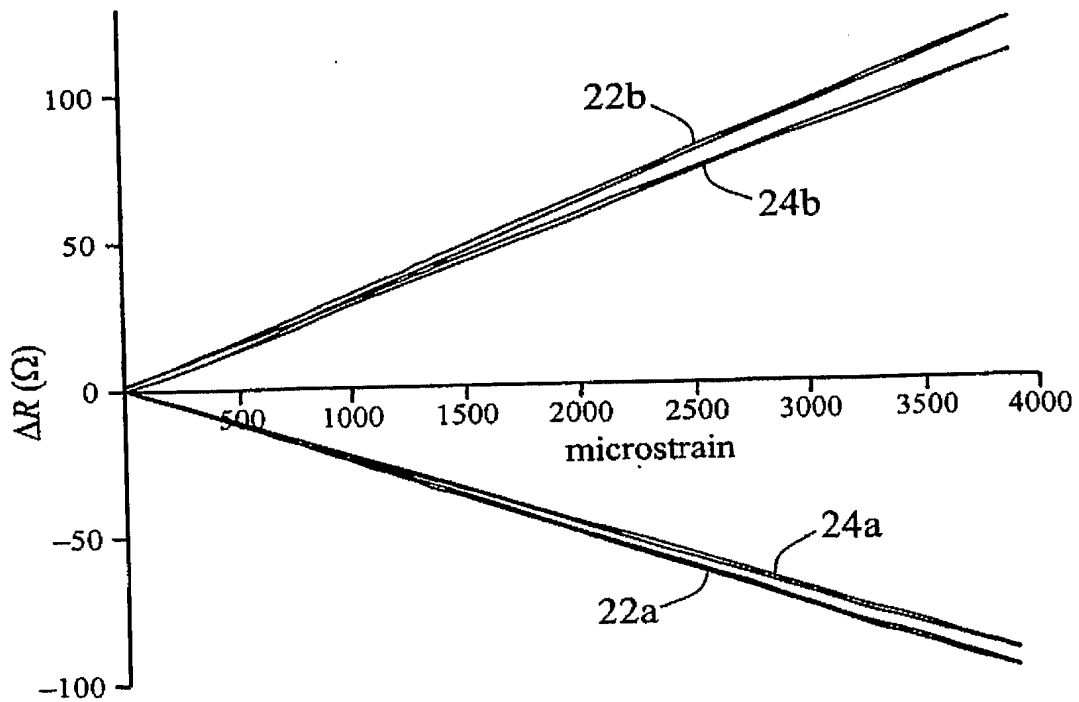


Figure 4

3/4

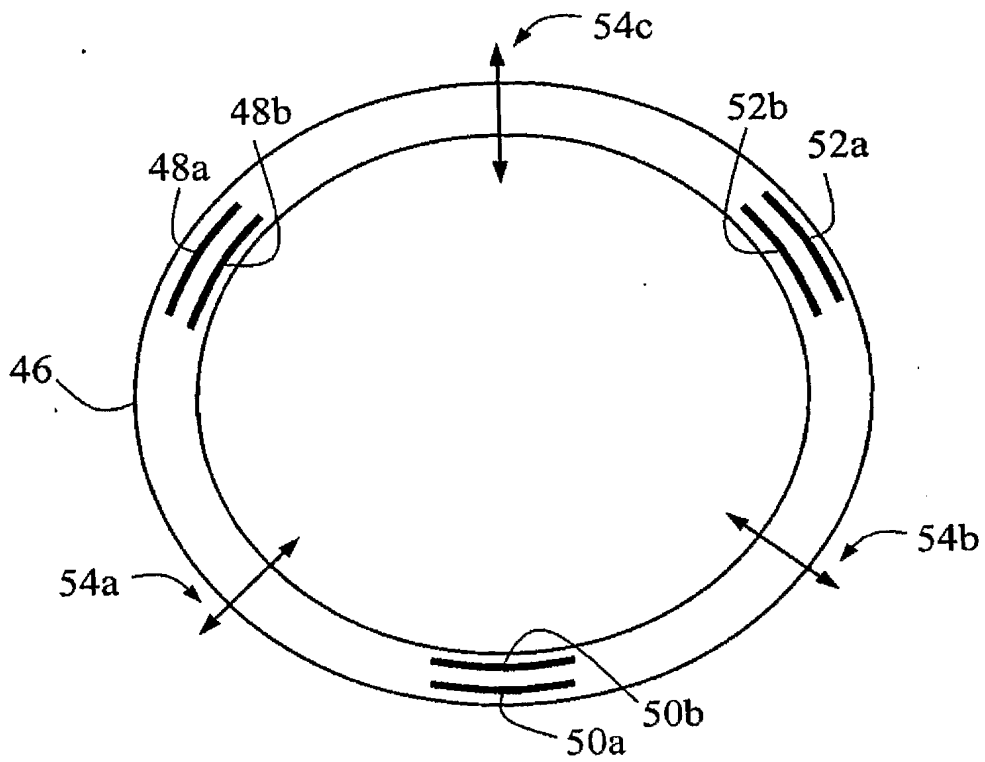


Figure 5

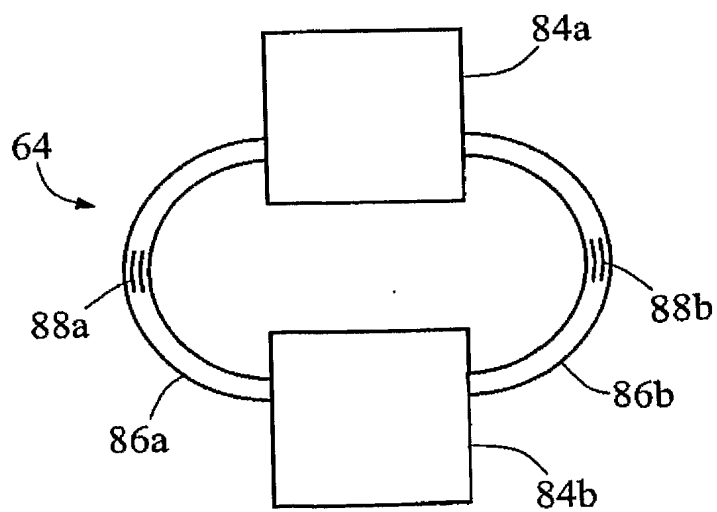


Figure 6B

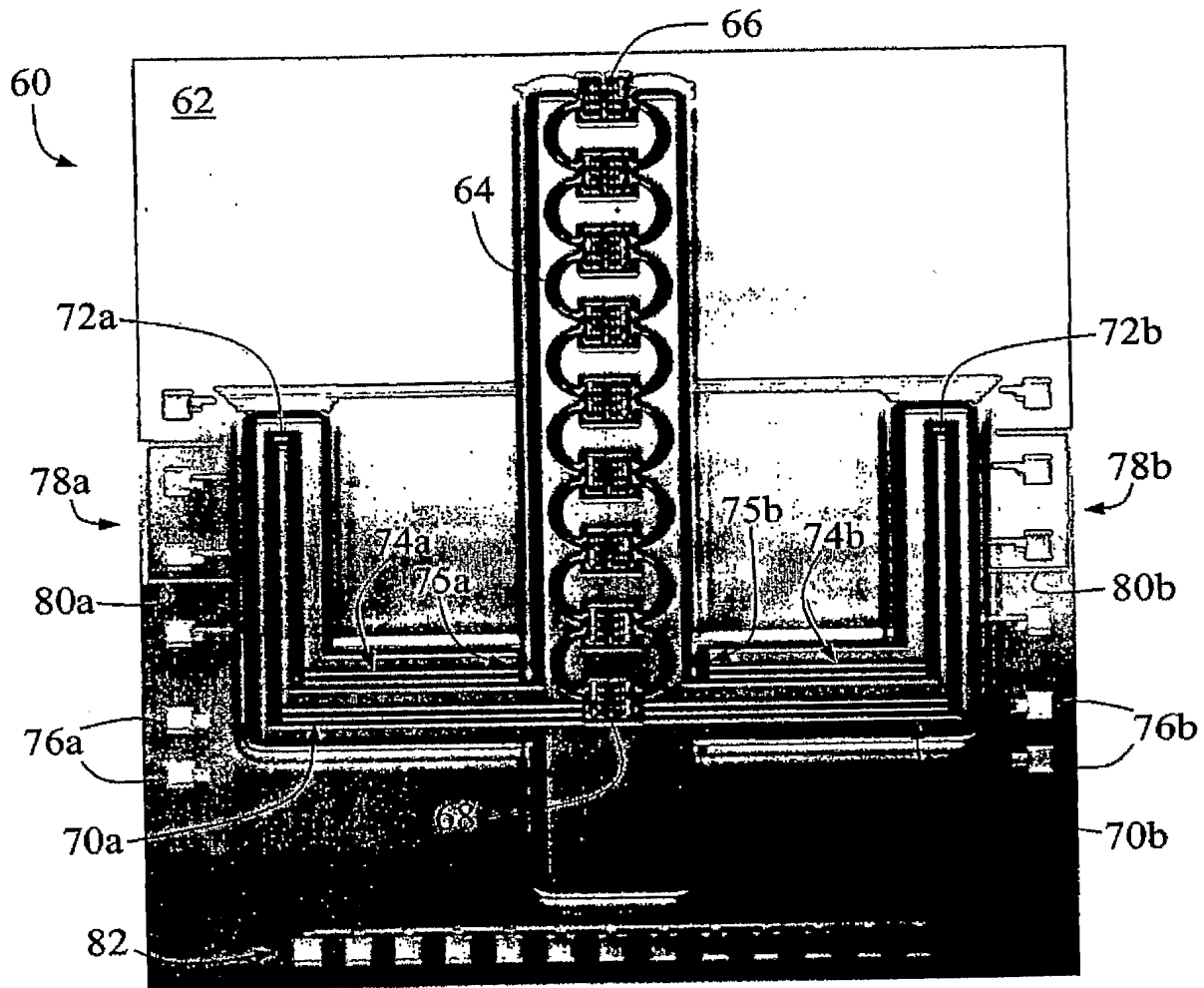


Figure 6A